

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Maik KINDERMANN et al. : **Mail Stop: PCT**  
Serial No. 10/529,651 : Attorney Docket No. 2005-0427A  
Filed March 31, 2005 :  
SUBSTRATES FOR O<sup>6</sup>-ALKYLGUANINA-DNA  
ALKYLTRANSFERASE  
[Corresponding to PCT/EP2003/010889  
Filed October 1, 2003]

**SUBMISSION OF REFERENCES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

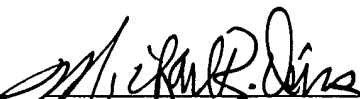
THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

Sir:

Referring to the Information Disclosure Statement filed March 31, 2005, copies of the Damoiseaux et al. and Keppler et al. references cited in the International Search Report (also cited as the AO and AP references on the previously submitted PTO-1449 form) are enclosed herewith.

Respectfully submitted,

Maik KINDERMANN et al.

By: 

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April 18, 2005

FORM PTO 1390  
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

2005-0427A

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371

U.S. APPLICATION NO.  
(if known, see 37 CFR 1.5)  
10/529,651

International Application No.  
PCT/EP2003/010889

International Filing Date  
October 1, 2003

Priority Date Claimed  
October 3, 2002

Title of Invention  
SUBSTRATES FOR O<sup>6</sup>-ALKYLGUANINA-DNA ALKYLTRANSFERASE

Applicant(s) For DO/EO/US  
Maik KINDERMANN, Kai JOHNSON and Christoph BIERI

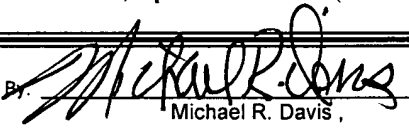
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ Other items or information: Submission of References.

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

<b>U.S. APPLICATION NO.</b> (if known, see 37 CFR 1.5) 10/529,651		<b>INTERNATIONAL APPLICATION NO.</b> PCT/EP2003/010889		<b>ATTORNEY'S DOCKET NO.</b> 05-0427A	
15. <input type="checkbox"/> The following fees are submitted				CALCULATIONS	PTO USE ONLY
Basic National Stage Fee ..... \$300.00 National Stage Search Fee..... \$500.00 National Stage Examination Fee..... \$200.00 Specification/drawings in excess of 100 pages (units of 50 x \$250.00) = ..... \$					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =		X \$50.00	\$	
Independent Claims	- 3 =		X \$200.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$	
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				+ \$	
<b>TOTAL FEES ENCLOSED =</b>				\$	
				Amount to be refunded	\$
				Amount to be charged	\$
a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
19. CORRESPONDENCE ADDRESS   <div style="text-align: center;"> <b>CUSTOMER NO.</b>  <b>000513</b> </div>			<div style="text-align: center;">               Michael R. Davis,              Registration No. 25,134   <b>WENDEROTH, LIND &amp; PONACK, L.L.P.</b>              2033 "K" Street, N.W., Suite 800              Washington, D.C. 20006-1021              Phone: (202) 721-8200              Fax: (202) 721-8250               April 18, 2005           </div>		

[CHECK NO. \_\_\_\_\_]  
 [2005-0427A]